

# The Drawing Thickness of Graph Drawings

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# Outline

Introduction - Motivation - Discussion

Variants of thicknesses

- Thickness

- Geometric thickness

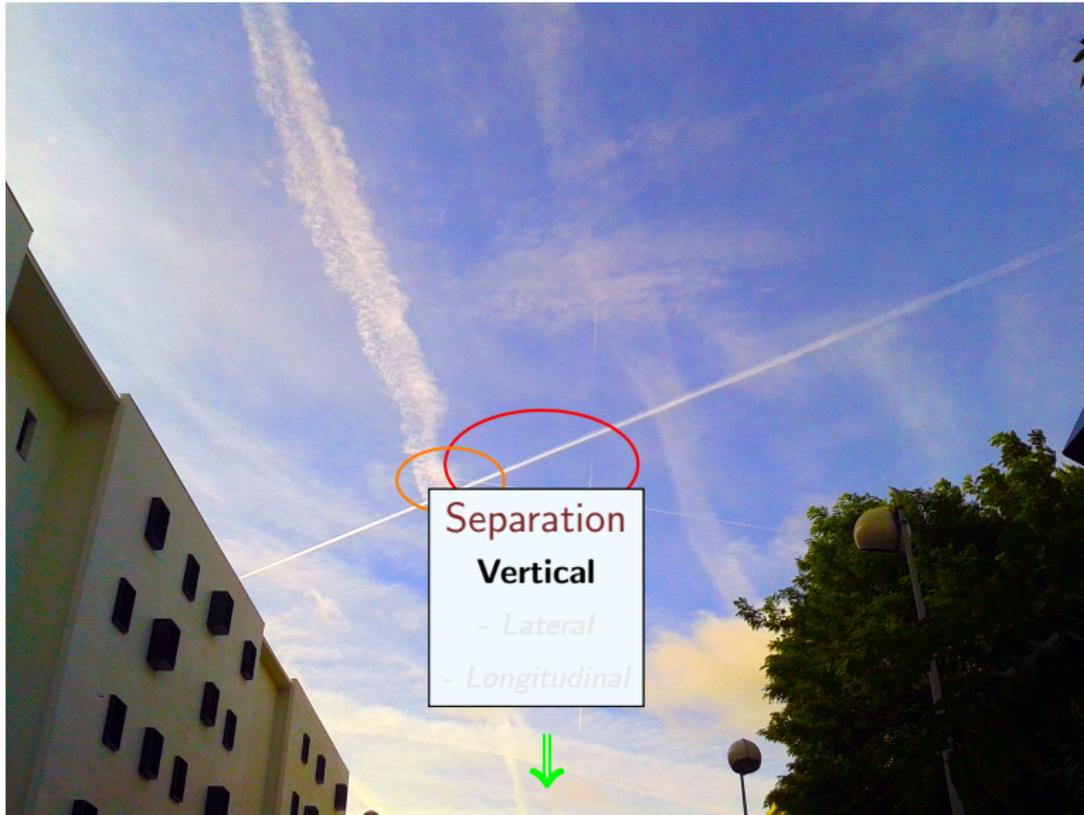
- Book thickness

Bounds

Complexity

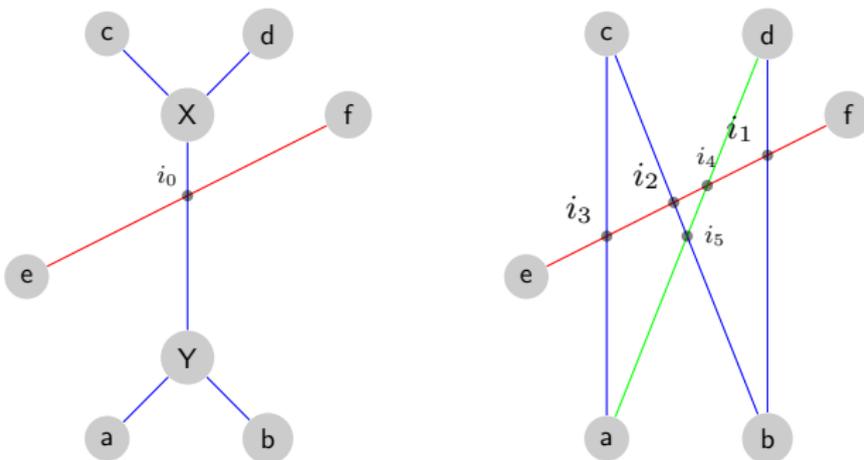
Related problems & future work

# Motivation: Air Traffic Management



# Motivation: Air Traffic Management

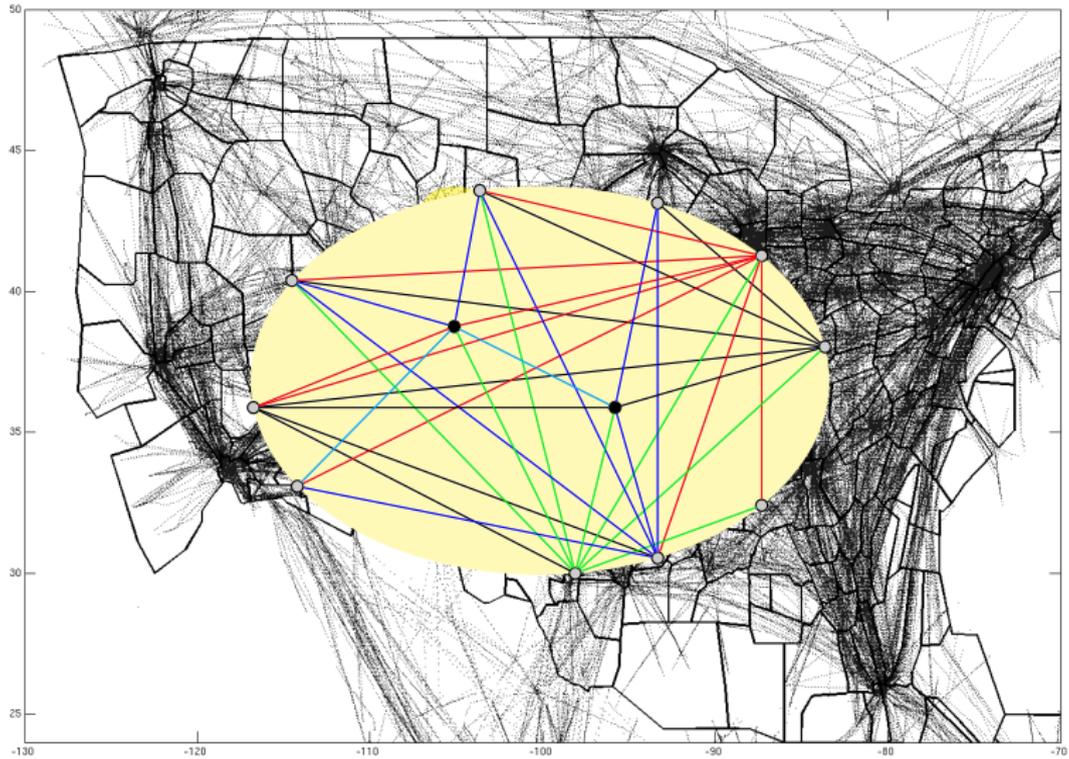
✚ Maximization of “free flight” airspace



✗ *Direct-to flight (as a choice among “free flight”) increases the complexity of air traffic patterns*

Actually... ✓ *Direct-to flight increases the complexity of air traffic patterns and we have something to study...*

# Motivation: Air Traffic Management

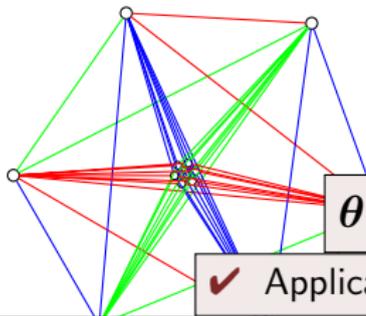


# How to model? – Graph drawing & thicknesses

Geometric thickness ( $\bar{\theta}$ )

Dillencourt et al. (2000)

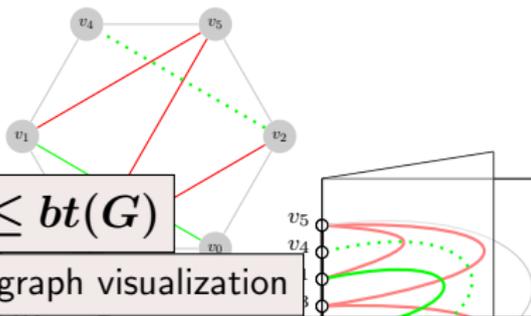
✚ only straight lines



Book thickness ( $bt$ )

Bernhart and Kainen (1979)

✚ convex positioning of nodes

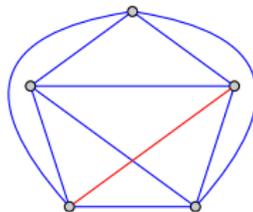


$$\theta(G) \leq \bar{\theta}(G) \leq bt(G)$$

✓ Applications in VLSI & graph visualization

✗  $\theta$ ,  $\bar{\theta}$ ,  $bt$  characterize the graph (minimizations over all allowed drawings)

Frute (1983), Classical planar decomposition



# Geometric graphs and graph drawings

**Definition 1.1 (Geometric graph, Bose et al. (2006), many Erdős papers).**

*A geometric graph  $G$  is a pair  $(V(G), E(G))$  where  $V(G)$  is a set of points in the plane in general position and  $E(G)$  is set of closed segments with endpoints in  $V(G)$ . Elements of  $V(G)$  are vertices and elements of  $E(G)$  are edges, so we can associate this straight-line drawing with the underlying abstract graph  $G(V, E)$ .*

We will transform this definition to the following:

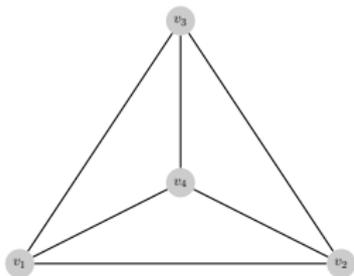
**Definition 1.2 (Drawing of a graph).**

*A drawing  $D$  of an (undirected) graph  $G(V, E)$  is an straight line embedding of  $G$  onto  $\mathbb{R}^2$ . The drawing can be seen as a “1-1” function  $D : V \rightarrow \mathbb{R}^2$ . We will write  $D(G)$  to denote a drawing of graph  $G$ .*

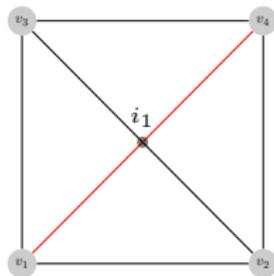
# The drawing thickness

## Definition 1.3 (Drawing thickness).

Let  $D$  be a drawing of  $G(V, E)$ . We define the drawing thickness,  $\vartheta(D(G))$  to be the smallest value of  $k$  such that each edge is assigned to one of  $k$  planar layers and no two edges on the same layer cross



(a)  $D_1(K_4)$



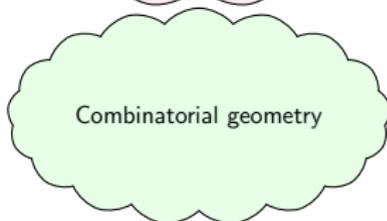
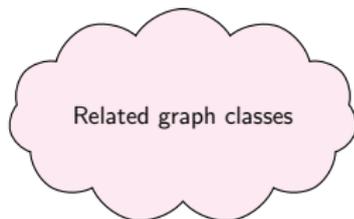
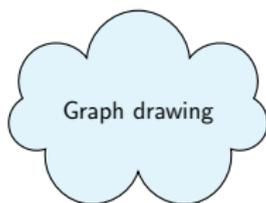
(b)  $D_2(K_4)$

Figure: 2 different drawings of the  $K_4$ .  $\vartheta(D_1(K_4)) = 1$ ,  $\vartheta(D_2(K_4)) = 2$ .

# The drawing thickness

Similar ideas appear (only?) in:

- ◆ Bernhart and Kainen (1979): *“The  $\sigma$ -thickness  $bt(G, \sigma)$  is the smallest  $k$  such that  $G$  has a  $k$ -book embedding with  $\sigma$  as a printed cycle”*  
*printing cycle: the order of the vertices around the equivalent convex  $n$ -gon embedding on the plane*
- ◆ Chung et al. (1987): *“a book embedding with specific vertex ordering”*



# Possible applications

## ATM: Flight Level organization

- ✓ Very dense traffic (lack of time & deviation alternatives)
- ✓ Sparse traffic (excess of Flight Levels available)

Or...



Joseph A. Barbetta, 1990

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Variants of thicknesses

Thickness

Geometric thickness

Book thickness

Bounds

Complexity

Related problems & future work

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# Graph thickness

## Definition 2.1 (Graph (theoretical) thickness).

Graph-theoretical thickness,  $\theta(G)$ , is the minimum number of planar graphs into which a graph  $G$  can be decomposed.

- ◆ The thickness of complete graphs is known for all  $n$ :

$$\theta(K_n) = \begin{cases} 1, & 1 \leq n \leq 4 \\ 2, & 5 \leq n \leq 8 \\ 3, & 9 \leq n \leq 10 \\ \lceil \frac{n+2}{6} \rceil, & 10 < n \end{cases}$$

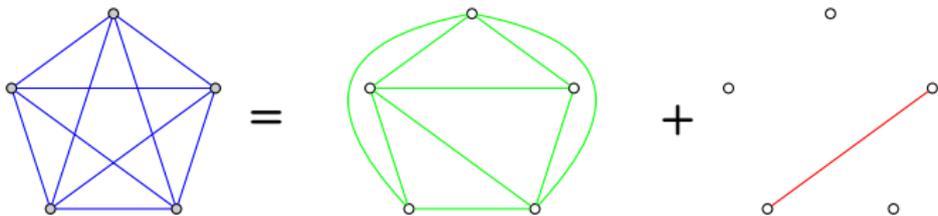


Figure: Planar decomposition of  $K_5$ :  $\theta(K_5) = 2$ .

# Graph thickness

- ◆  $\theta(K_{m,n}) = \left\lceil \frac{mn}{2(m+n-2)} \right\rceil$ , except for if  $mn$  is odd,  $m > n$  and there is an even  $r$ , with  $m = \left\lfloor \frac{r(n-2)}{n-r} \right\rfloor$  ([1]).

Complexity of THICKNESS:

## Theorem 2.1.

*Given a graph  $G$ , the decision problem whether  $G$  can be decomposed into 2 planar layers is NP-complete.*

Proof by Mansfield ([13]) uses PLANAR 3-SAT (with only 3 literals(!)) as the known NP-complete problem for the reduction.

# Graph thickness

Two equivalent ways to “see” a graph’s thickness:

- ◆ Pure planar decomposition
- ◆ The “best” drawing, edges being **arbitrary curves**

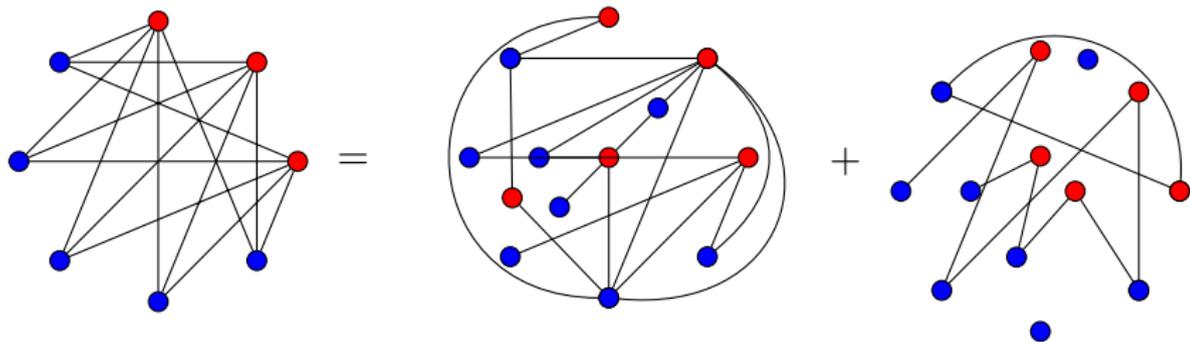


Figure: Showing (and seeing) that  $\bar{\theta}(K_{3,5}) = 2$

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# Geometric thickness

## Definition 2.2 (Geometric thickness).

We define  $\bar{\theta}(G)$ , the geometric thickness of a graph  $G$ , to be the smallest value of  $k$  such that we can assign planar point locations to the vertices of  $G$ , represent each edge of  $G$  as a line segment, and assign each edge to one of  $k$  layers so that no two edges on the same layer cross.

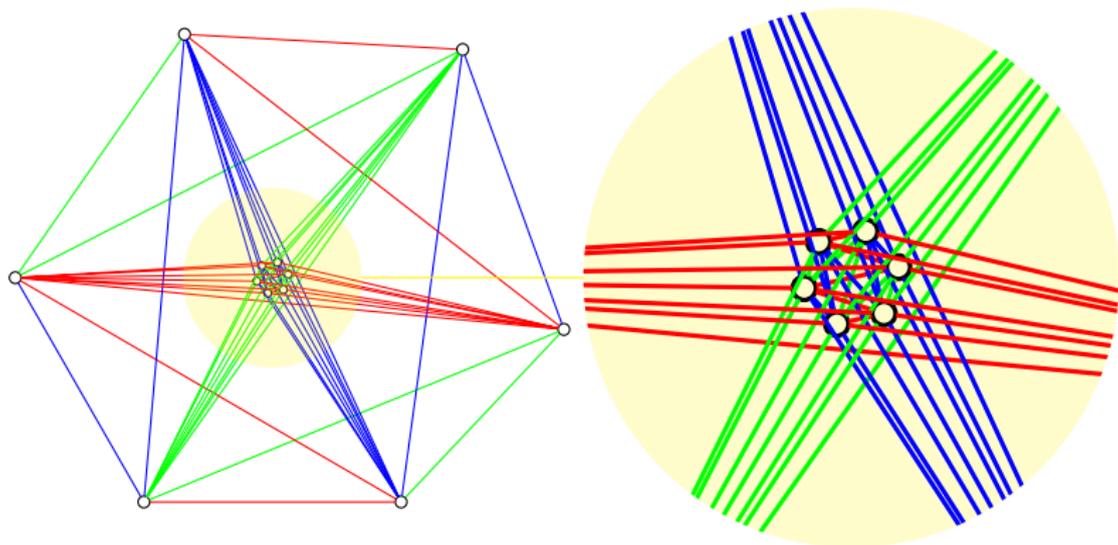
- ◆ As geometric thickness is a restriction over graph-theoretical thickness (straight line segments), it is clear that for any graph  $G$  stands  $\theta(G) \leq \bar{\theta}(G)$ .
- ◆ By *Fáry's theorem*, any planar graph  $G$  can be drawn in such a way that all edges are straight line segments, therefore  $\bar{\theta}(G_{planar}) = 1$ .
- ◆ **By definition, for any graph  $G$  and any drawing  $D$  it is true that  $\bar{\theta}(G) \leq \vartheta(D(G))$ .**

# Geometric thickness

**Theorem 2.2 (Dillencourt et al. (2000)).**

*For the complete  $K_n$ ,  $n \geq 12$  it is*

$$\left\lceil \frac{n}{5.646} + 0.342 \right\rceil \leq \bar{\theta}(K_n) \leq \left\lceil \frac{n}{4} \right\rceil$$



# Geometric thickness

**Theorem 2.3 (Dillencourt et al. (2000)).**

$$\bar{\theta}(K_n) = \begin{cases} 1, & 1 \leq n \leq 4 \\ 2, & 5 \leq n \leq 8 \\ 3, & 9 \leq n \leq 12 \\ 4, & 15 \leq n \leq 16 \end{cases}$$

For the complete bipartite graph  $K_{m,n}$  it is:

$$\left\lceil \frac{mn}{2m + 2n - 4} \right\rceil \leq \theta(K_{m,n}) \leq \bar{\theta}(K_{m,n}) \leq \left\lceil \frac{\min(m, n)}{2} \right\rceil$$

**Open Problem 1.**

What is the geometric thickness of  $K_{13}$  and  $K_{14}$ ? (3 or 4?)

## Thickness vs. geometric thickness

- ◆ We know that  $K_{6,8}$  has graph-theoretical thickness 2, but geometric thickness 3.
- ◆ Ratio between book thickness and geometric thickness has been proven unbounded by any constant factor:
- ◆ D. Eppstein ([8]) used lemmata from Ramsey theory to prove there are graphs with thickness 3 and arbitrarily large geometric thickness.
- ◆ Same problem for graphs with  $\theta = 2$  remains open.

# Geometric thickness

## Recent result:

### Theorem 2.4 (Durocher et al. (2013)).

*Recognizing geometric thickness 2 graphs is NP-hard.*

We may refer to the problem as GEOM.THICKNESS

### Open Problem 2.

*For a graph  $G$ , does the decision problem  $\bar{\theta}(G) \leq 2$  belong to class NP?*

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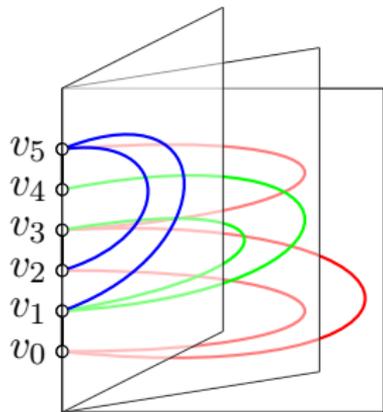
Complexity

Related problems & future work

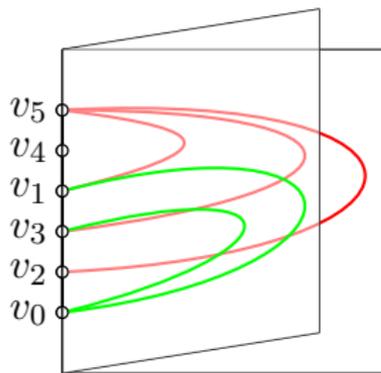
# Book embeddings and thickness

## Definition 2.3 (Book embedding (L. T. Ollman, 1973)).

A  $k$ -book embedding  $\beta$  of  $G(V, E)$  is a placing of all  $v \in V$  along the spine  $L$  of a book  $B$ , and a drawing of all edges  $e \in E$  as arbitrary open (Jordan) arcs joining respective vertices, either in  $L$  or onto one exactly of  $k$  book pages  $\{P_1, \dots, P_k\}$ , such that arcs on the same page do not cross.



(a) A book embedding  $\beta$  of  $G$  with 3 pages



(b) A book embedding  $\beta_{opt}$  of  $G$  with the optimum of 2 pages

# Book embeddings and thickness

Naturally we will define:

## **Definition 2.4 (Book thickness).**

*We define  $bt(G)$ , the book thickness of a graph  $G$ , to be the smallest value of  $k$  such that  $G$  has a  $k$ -book embedding.*

# Book thickness alternative definition

## Definition 2.5 (Book thickness via convex graph drawing).

If  $G$  has a connected component which is not a path, we can define  $bt(G)$  as the smallest value of  $k$  such that vertices of  $G$  are placed in convex position, each edge of  $G$  is a line segment, and each edge is assigned to one of  $k$  layers so that no two edges on the same layer cross.

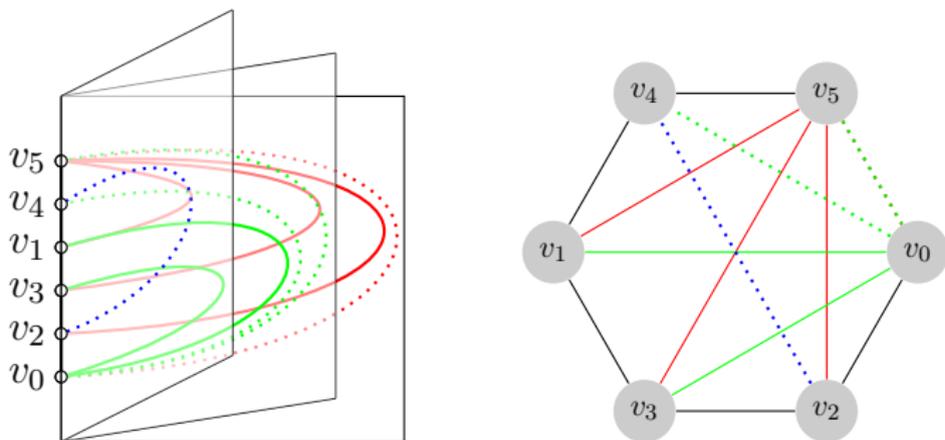


Figure: Book embedding and convex embedding.

# Convex graph drawing

## Definition 2.6.

A drawing  $D$  of a graph  $G(V, E)$  is said to be convex if  $D$  maps set  $V$  to a convex point set on  $\mathbb{R}^2$ .

We will often use the notation  $D_{conv}$  to distinguish these cases. Analogously to linking geometric thickness with our drawing thickness, we have:

$$\blacklozenge \text{bt}(G) \leq \vartheta(D_{conv}(G))$$

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Complexity

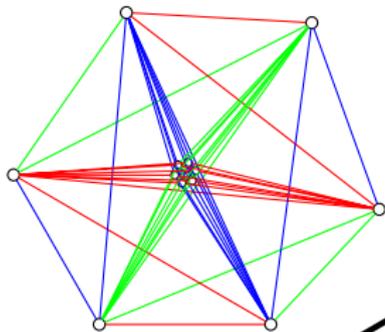
Related problems & future work

# Bounds of drawing thickness

Geometrical thickness ( $\bar{\theta}$ )

Dillencourt et al. (2000)

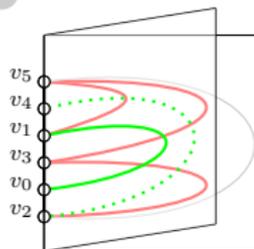
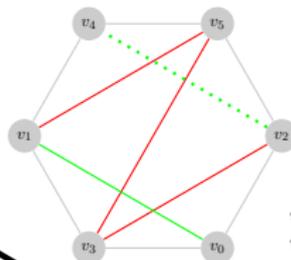
⊕ only straight lines



Book thickness ( $bt$ )

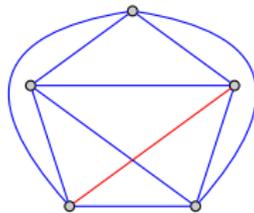
Bernhart and Kainen (1979)

⊕ convex positioning of nodes



Thickness ( $\theta$ )

Tutte (1963), "classical" planar decomposition



# Bounds of drawing thickness

Geometrical thickness ( $\bar{\theta}$ )  
(+ only straight lines)



Arbitrary drawing case

$$\bar{\theta}(G) \leq \vartheta(D(G))$$

Book thickness ( $bt$ )  
(+ convex positioning of nodes)



Convex drawing case

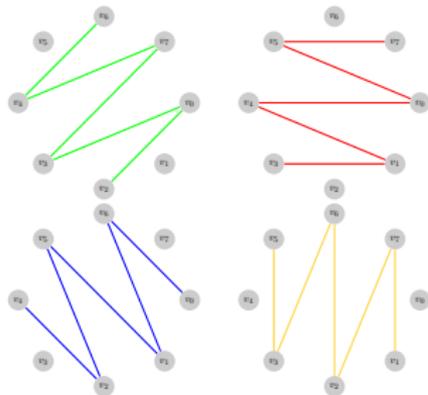
$$bt(G) \leq \vartheta(D_{conv}(G))$$

Lower

Upper



$$\vartheta(D_{conv}(G)) \leq \lceil \frac{n}{2} \rceil$$



# That is the question

## Open Problem 3 (as stated by D. Wood).

*What is the minimum number of colours such that every complete geometric graph on  $n$  vertices has an edge colouring such that crossing edges get distinct colours*

## Open Problem 3 (“Translation”).

*Let the quantity  $\vartheta(D(G)), |V| = n$  be bound by quantity  $A(n)$ , for any  $G$  of size  $n$  and drawing  $D$ . What is  $A(n)$ ?*

- ◆ The convex case dictates:  $A(n) \geq \lceil \frac{n}{2} \rceil$
- ◆ Easy to see that  $A(n) \leq n - 1$
- ◆ Bose et al. (2006) improved the upper bound to  $n - \sqrt{\frac{n}{12}}$

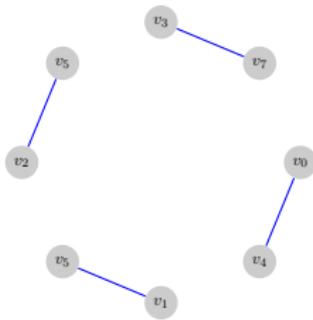
## A peculiar observation

- ◆ Dillencourt et al. (2000) proved (roughly) that  $\bar{\theta}(K_n) \leq \lceil n/4 \rceil$ . Along with having  $bt(G) = \lceil n/2 \rceil$  we may ask:

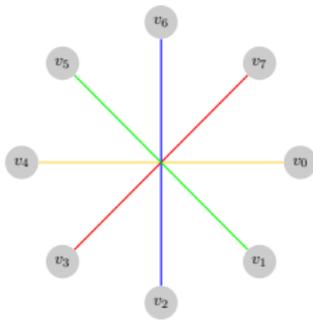
**Is the convex case the worst case for our drawing thickness?**

Then it would be  $A(n) = \lceil n/2 \rceil$  and tight.

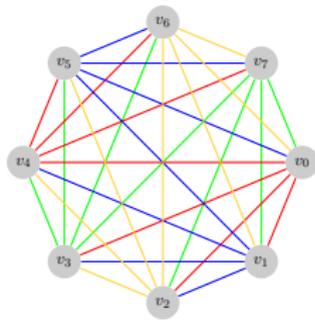
# Sparse vs. dense graphs' drawings



(a)  $\vartheta(D_{adj}(G_{pair}^8)) = 1$



(b)  $\vartheta(D_{opp}(G_{pair}^8)) = 4$



(c)  $\vartheta(D_{conv}(K_8)) = 4$

## Lemma 3.1.

Let  $G(V, E)$  be drawn onto  $\mathbb{R}^2$  via  $D$ . It is  $\bar{\theta}(G) \leq \vartheta(D(G)) \leq \min(|E|, A(n))$  for any  $D$ .

If it is indeed  $A(n) = \lceil n/2 \rceil$  then what would be more interesting is when  $\vartheta(D(G)) < \lceil \frac{n}{2} \rceil$

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**Complexity**

Related problems & future work

# Determining $\vartheta(D(G))$ is NP-complete

What we will use:

- ◆ Ehrlich et al. (1976), Eppstein (2003): *Given a set of line segments on the plane, it is NP-complete to determine if the **intersection graph** of its edges is 3-colorable. In other words, 3-COLOR is NP-complete in SEG graphs*
- ◆ Garey et al. (1980): *COLOR in CIRCLE graphs is NP-complete*
- ◆ CIRCLE 3-COLOR: is stated as *polynomially solvable* in [www.graphclasses.org](http://www.graphclasses.org) with Garey et al. (1980) as a reference.(?)

Arbitrary drawing case



D.THICK



SEG graphs

Convex drawing case



conv-D.THICK



CIRCLE graphs

⊃

# Intersection and crossing graphs

## Definition 4.1 (Intersection model (graph)).

Let  $S = \{s_1, \dots, s_n\}$  be a family of line segments on the plane. Its intersection model is the graph  $H(V, E)$  with  $V = \{s_1, \dots, s_n\}$  and  $s_i s_j \in E \Leftrightarrow s_i$  **intersects**  $s_j$ . We will denote here  $H = I^S$ . And by definition  $H \in \text{SEG}$ .

## Definition 4.2 (Crossing model (graph)).

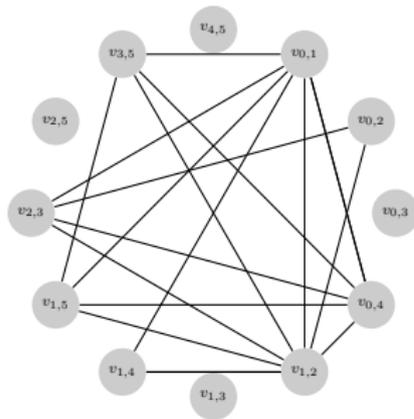
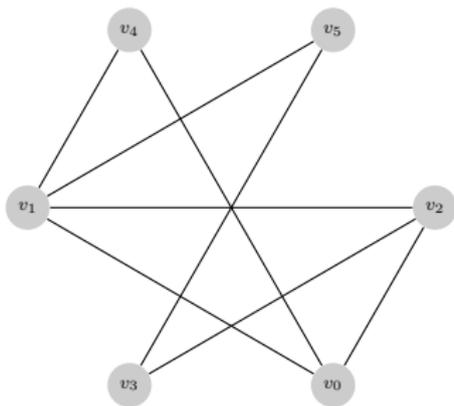
Let  $S = \{s_1, \dots, s_n\}$  be a family of line segments on the plane. The crossing graph of  $S$  is the graph  $H(V, E)$  with  $V = \{s_1, \dots, s_n\}$  and  $s_i s_j \in E \Leftrightarrow s_i$  **crosses**  $s_j$ . We will denote  $H = C^S$ .

- ◆ Obviously, there are many sets  $S$  such that  $C^S \neq I^S$ .
- ◆ So, if we consider a drawing of a graph, its thickness can be directly associated with the coloring of its crossing graph  $C^{D(S)}$ .

# CIRCLE graphs

## Definition 4.3.

A graph  $G$  is a CIRCLE graph if it has an intersection model of chords of a circle.



# CIRCLE graphs and convex graph drawings

## Theorem 4.1.

*Every convex drawing on  $n$  vertices  $D_{conv}^{(n)}$  is equivalent to any other  $D'_{conv}^{(n)}$  as long as the ordering of the vertices around the defined convex polygon remains the same, i.e. derives by rotation and reflection of the initial ordering.*

## Proof.

See my Diploma Thesis. □

- ◆ We can transform any convex drawing to an equivalent drawing on a circle.
- ◆ Then, drawn edges are chords of the circle.

## conv-D.THICK is NP-complete

### Theorem 4.2 (Chung et al. (1987)).

*It is NP-complete to determine the pagenumber of a book embedding with specific vertex ordering.*

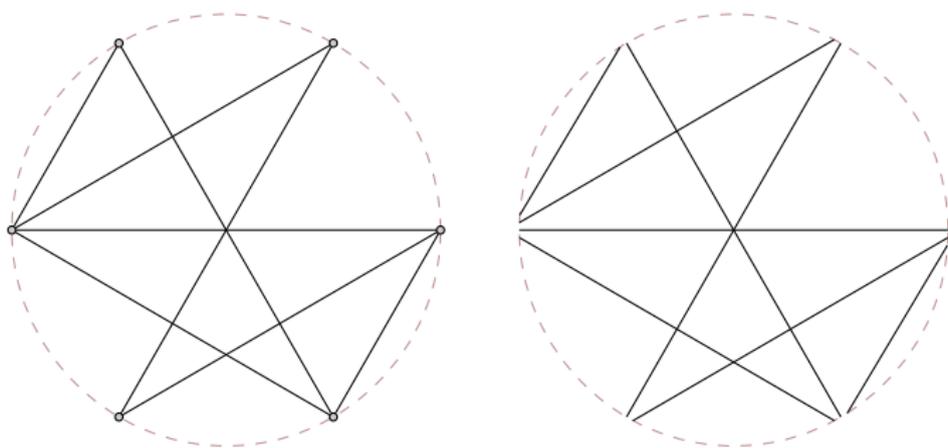
*Or, using our terminology:*

*It is NP-complete to determine the drawing thickness of a convex graph drawing.*

- ◆ Chung et al.'s proof is an (easy) reduction from CIRCLE COLOR.
- ◆ We just note our slightly more generic class of convex drawings through the conditions of equivalence.
- ◆ Therefore, D.THICK is also NP-complete.

# conv-D.THICK is NP-complete

**The proof:** tweaking the endpoints



## Proposition 4.1.

For every graph  $G$  and convex drawing  $D_{conv}$ ,  $C^{D_{conv}}(G) \in \text{CIRCLE}$ .

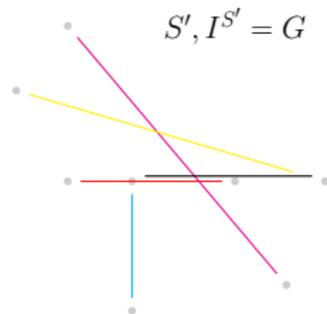
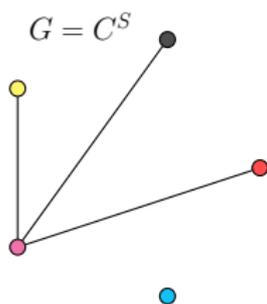
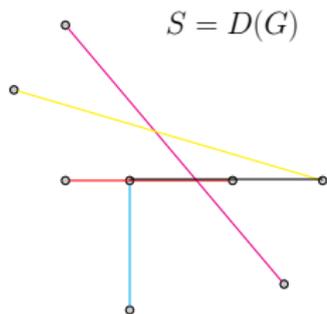
Question remains for CIRCLE 3-COLORABILITY

# SEG 3-COLORABILITY $\leq^P$ 3-D.THICK

## Proposition 4.2.

For every graph  $G$  and drawing  $D$ ,  $C^{D(G)} \in \text{SEG}$ .

- ◆ Key: tweaking endpoints (shorten them) and splitting apart intersecting parallel segments.

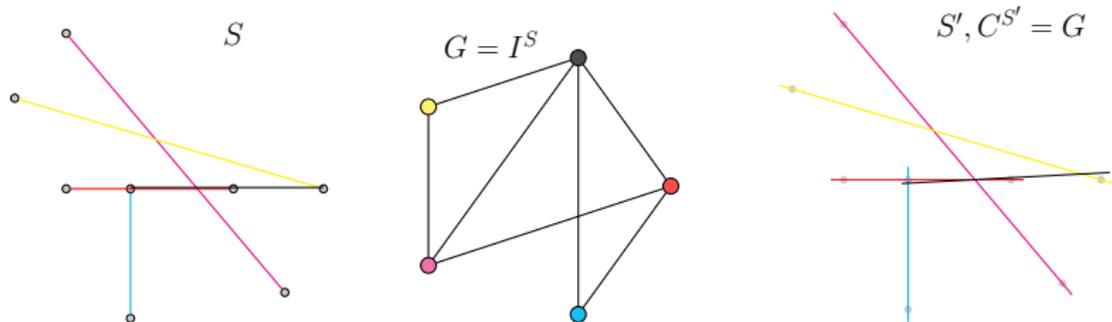


# SEG 3-COLORABILITY $\leq^P$ 3-D.THICK

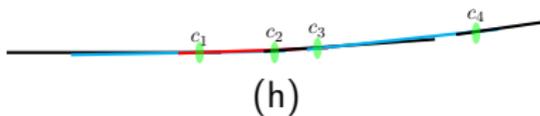
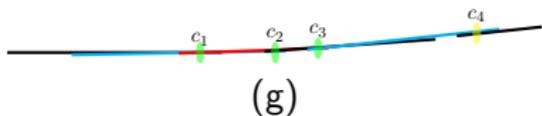
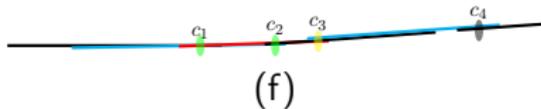
## Proposition 4.3.

Let  $S$  be a set of line segments on the plane.  $G = I^S \in \text{SEG}$  and we can construct in poly-time some  $S'$  such that  $C^{S'} = G$ .

- ◆ Key 1: tweaking endpoints (extend them)
- ◆ Key 2: **see parallel intersecting segments as an interval graph**



# SEG 3-COLORABILITY $\leq^P$ 3-D.THICK



- ◆ MAX CLIQUE is polynomial time for interval graphs ([16]) and so is the problem of finding and ordering every distinct *maximal* clique, which can easily be solved in  $\mathcal{O}(n)$  time using a sweep line (greedy) algorithm.

**Theorem 4.3.**

*3-D.THICK is NP-complete.*

- ◆ Actually, it is SEG COLORABILITY  $\equiv^P$  D.THICK

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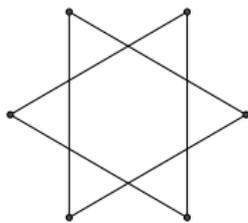
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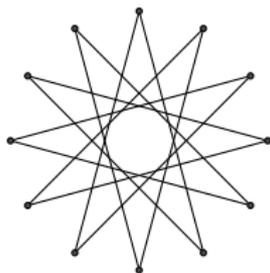
Related problems & future work

# Drawing thickness of star polygons/figures

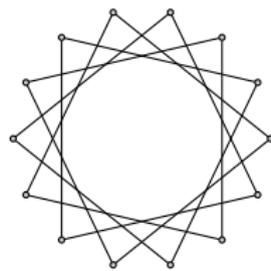
- ◆ A star polygon  $\{n/k\}$ , with  $n, k$  positive integers, is a figure formed by connecting with straight lines every  $k^{\text{th}}$  point out of  $n$  regularly spaced points lying on a circle.
- ◆ Originally, for a star polygon we have  $\gcd(n, k) = 1$ , and if  $\gcd(n, k) > 1$  we often come across the term “star figure”
- ◆ It is actually convex graph drawing, according to our terminology.  $k$  is called density of the star polygon. Without loss of generality, take  $k \leq \lfloor n/2 \rfloor$ .



(i)  $S_{6/2}$



(j)  $S_{12/5}$

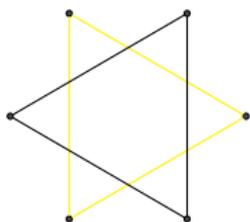


(k)  $S_{14/4}$

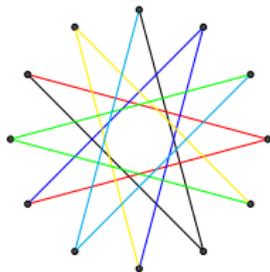
# Drawing thickness of star polygons/figures

## Theorem 5.1.

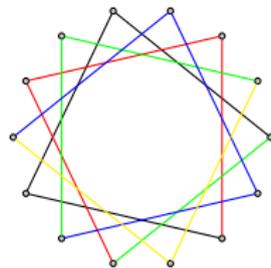
The drawing thickness of  $S_{n/k}$  is  $\vartheta(S_{n/k}) = \lceil \frac{n}{\lfloor \frac{n}{k} \rfloor} \rceil = k + \lceil \frac{r}{q} \rceil$ , the integers satisfying the Euclidean division:  $n = k \cdot q + r$ ,  $0 \leq r < k$ . In addition, for  $k_1 > k_2$  it is  $\vartheta(S_{n/k_1}) \geq \vartheta(S_{n/k_2})$ .



(l)  $\vartheta(S_{6/2}) = 2$



(m)  $\vartheta(S_{12/5}) = 6$

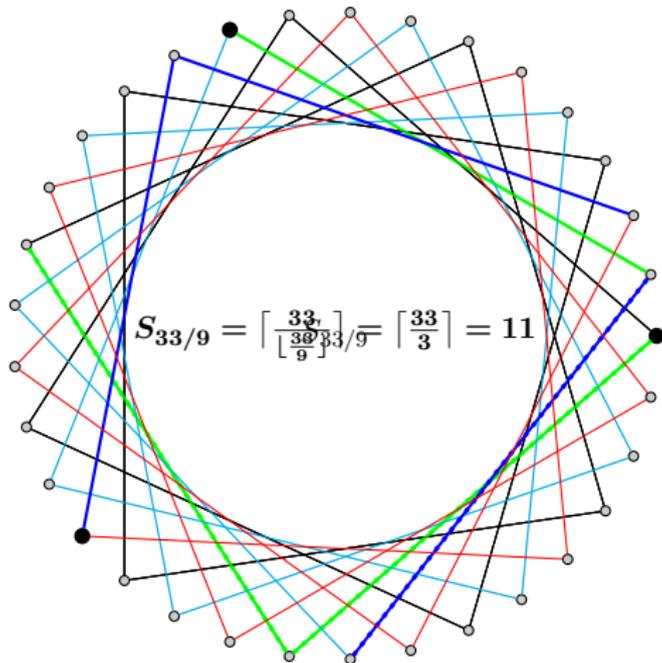


(n)  $\vartheta(S_{14/4}) = 5$

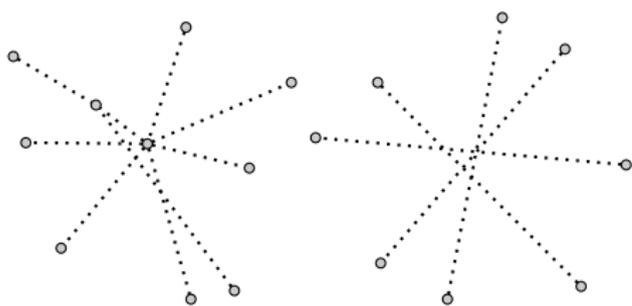
- ◆ Key for the proof is the quotient  $q$  which is the maximum number of possible edges within a single layer

# Drawing thickness of star polygons/figures

- ◆ If  $\gcd(n, k) = 1$ , then we can draw the figure without lifting our pen and the quantity  $\lceil \frac{n}{q} \rceil$  is quite evident.
- ◆ Otherwise, key #2 of the proof is the gap of size  $p = \gcd(n, k)$  between the “minors”  $S_{(n/p)/(k/p)}$ .



## Point sets that dictate $\vartheta(D(K_n)) \geq \lceil \frac{n}{2} \rceil$



A  $2r$ -point set  $P$  in general position on the plane is said to admit a perfect cross-matching if there are exactly  $r$  pairwise crossing segments that cover all  $2r$  points. We will denote the class of such point sets by  $P_{pcm}$ .

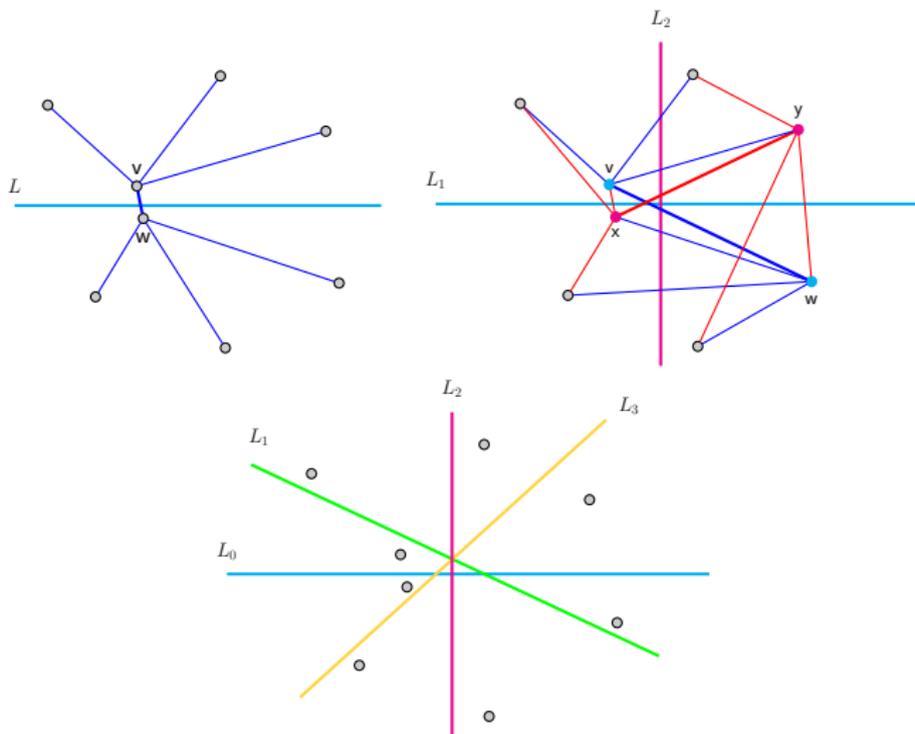
Pach and Solymosi (1999): a point set  $P$  admits a perfect cross-matching *if and only if* the number of halving lines  $h(P) = r$  (in general it is  $h(P) \geq n$ ), and there is an  $O(n \log n)$ -time ( $O(n)$ -space) algorithm that decides if  $P \in P_{pcm}$ .

Our interesting question was when  $\vartheta(D(G)) < \lceil \frac{n}{2} \rceil$  (especially if our conjecture proves to be correct).

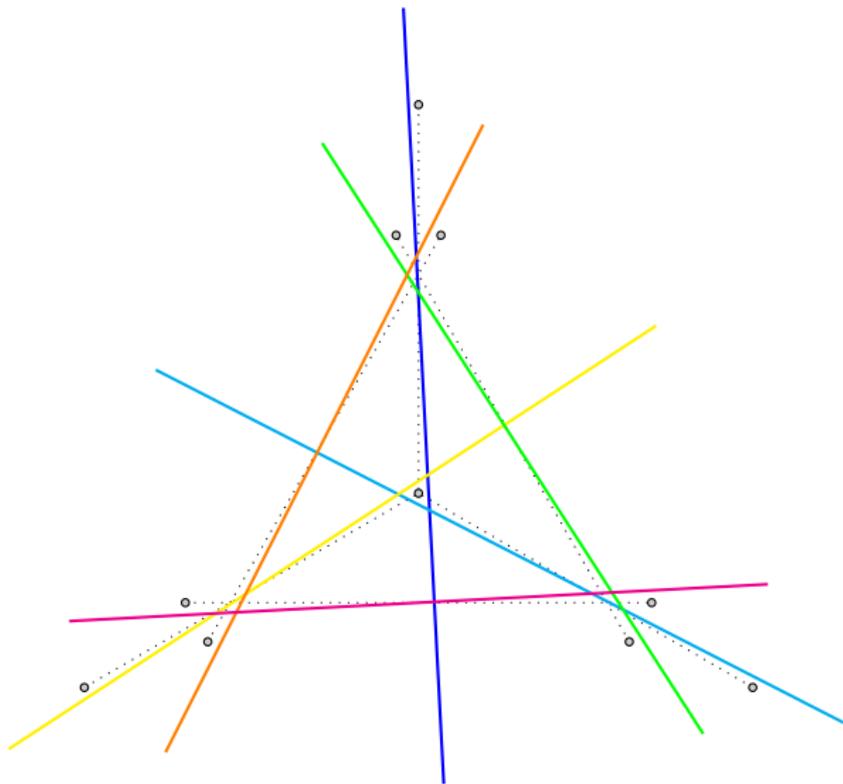
What we can answer now in polynomial time is if  $D(G) \in P_{pcm}$  and thus if all edges-halving lines are drawn ( $O(n)$  time to check), we are sure to have  $\vartheta(D(G)) = n/2$ .

# Point sets that allow $\vartheta(D(K_n)) \leq \lceil \frac{n}{2} \rceil$

Bose et al. (2006), using *plane spanning double stars*:



# Point sets that allow $\vartheta(D(K_n)) \leq \lceil \frac{n}{2} \rceil$



# Triangulation Existence problems

For the following we consider a graph  $G(V, E)$  and a drawing  $D$ , and our point set is  $P = D(V)$  ( $|P| = n$ ).

**Point set triangulation (TRI):** is a triangulation of the convex hull of the point set  $P$  with exactly all points of  $P$  being vertices of the triangulation. If  $h(P)$  is the number of the points of  $P$  that define its convex hull, then any triangulation of  $P$  includes  $e = 3n - h(P) - 3$  drawn segments/edges.

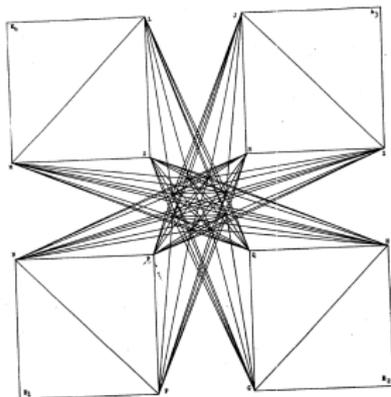
**Polygon triangulation (POLY-TRI):** is a decomposition of some polygon defined on  $P$ . Every triangulation of such a  $n$ -gon on the plane requires exactly  $n - 3$  drawn segments/edges.

**Convex triangulation (CONVEX TRI):** The two definitions coincide when the point set  $P$  is convex and thus only one (convex) polygon is defined on  $P$ .

# Point set triangulation

## Theorem 5.2 (Lloyd (1977) and in our words).

*For an arbitrary drawing  $D$  of  $G(V, E)$ , TRI of  $P = D(V)$  is NP-complete.*



# Convex triangulation

CONVEX TRI is polynomially solvable  $\geq_p$  CIRCLE IND. SET

IND. SET of circle graphs can be computed in polynomial time:  $O(n^3)$  by Gavril (1973) and up to the most recent  $O(n \min(d, \alpha))$ -time output sensitive algorithm,  $d$  being the density of the graph and  $\alpha$  being its independence number, by Nash and Gregg (2010).

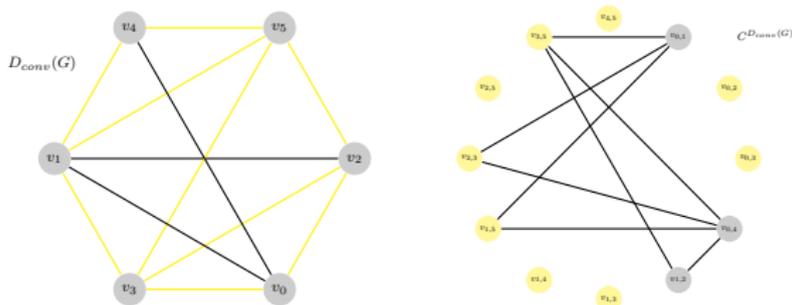


Figure: Maximal set of  $9 = 2 \cdot 6 - 3$  pairwise non-crossing edges for a convex drawing and the corresponding crossing graph with max. ind. set of size 9.

## What about POLY-TRI

### Open Problem 4.

For given  $G, D$ , decide *POLY-TRI* on  $P = D(V)$ .

### Proposition 5.1.

*POLY-TRI*  $\in$  *NP*.

### Proof.

Easy to see that a non-deterministic algorithm can guess some subset of  $E$  of size  $2n - 3$  and check in polynomial time if the set is planar. □

## Some more ideas for future work

### The variants of our main problem

#### Open Problem 5.

*What is the minimum number of colours such that every complete geometric graph on  $n$  vertices has an edge colouring such that:*

*[Variant B] disjoint edges get distinct colours*

*[Variant C] non-disjoint edges get distinct colours*

*[Variant D] non-crossing edges get distinct colours*

## Little example in this direction

**Variant C:** non-disjoint edges get distinct colours.

- ◆ Edges with same color are a *plane matching* (at most  $n/2$  edges)
- ◆ Known lower bound:  $C(n) \geq n - 1$ .
- ◆ Little improvement:  $C(n) \geq n$ .

Proof.

On the board.



**The end**

**Thank you!**

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